

# J. CAIN LEONARD

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LEONARD WEALTH MANAGEMENT, LLC

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FIRM SUPPLEMENTAL BROCHURE

ADV PART 2B

MARCH 16, 2023

This Brochure Supplement provides information about J. Cain Leonard that supplements Leonard Wealth Management, LLC's brochure. You should have received a copy of that brochure. Please contact Mr. Leonard at (704) 735-7427 if you did not receive Leonard Wealth Management, LLC's Brochure or if you have any questions about the contents of this supplement.

Additional information about J. Cain Leonard is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). You can search this site by a unique identifying number, known as a CRD number. The CRD number for Mr. Leonard is 1197960.

## Item 2 – Educational Background and Business Experience

### J. Cain Leonard

**Born:** 1957

#### Education:

**University of North Carolina, Greensboro** – Bachelor’s degree in music education – 1981

**Converse College** – Attended – 1978 & 1979

**University of Michigan** – Attended – 1976 & 1977

**University of Louisville** – Attended – 1977 to 1979

**Mars Hill University** – Attended – 1975 to 1976

**Certified Estate Planning (CEP®)** – 2011

*Issued By:* National Institute of Certified Estate Planners

*Prerequisites/Experience Required:* Valid current license in either the financial, legal, or tax profession or receive permission for enrollment based on some other relevant professional interest.

*Education Requirements:* Combination of online and self-study course (eight modules)

*Examination Type:* Proctored Exam

*Continuing Education:* Eight hours every two years in the area of estate planning.

**Master Certified Estate Planner (MCEP®)** – 2019

*Issued By:* National Institute of Certified Estate Planners (NICEP)

*Prerequisites/Experience Required:* Candidates must have previously earned the Certified Estate Planner designation and be in current good standing with NICEP.

*Education Requirements:* Combination Online and Self-Study course (eight modules)

*Examination Type:* Proctored exam

*Continuing Education:* 8 hours every 2 years in the area of estate planning.

#### Business Background:

**Leonard Wealth Management, LLC** – February 2021 to Present

- Managing Member
- Investment Advisor Representative

**J. Cain Leonard dba Leonard Insurance Advisors, LLC** – October 1995 to Present

- Insurance Agent

**AE Wealth Management, LLC** – April 2018 to February 2020

- Investment Advisor Representative

**Retirement Wealth Advisors** – October 2014 to April 2018

- Investment Advisor Representative

**Formula Folios Investments** – October 2014 to April 2018

- Investment Advisor Representative

**Foundation For Financial Education** – January 2017 to February 2018  
– Affiliate Member

### Item 3 – Disciplinary Information

Registered investment advisor representatives are required to disclose all material facts regarding any legal or disciplinary events that could be material to your evaluation of each supervised person providing investment advice. Mr. Leonard has no information that is applicable to this item.

### Item 4 – Other Business Activities

Mr. Leonard is owner and a licensed insurance agent of J. Cain Leonard, Leonard Insurance Advisors, LLC, an insurance business. He spends approximately 50% of his time to this activity and may recommend the sale of insurance products to you through Leonard Insurance Advisors, LLC. This other business activity pays him commissions that are separate from the fees described in Item 5 of the firm's Form ADV Part 2A. The commissions give him a financial incentive to recommend and sell you insurance products. Mr. Leonard attempts to mitigate any conflicts of interest to the best of his ability by placing your interests ahead of own and through the implementation of policies and procedures that address the conflict. Additionally, he informs you that you always have the right to choose whether to act on the recommendation and that you have the right to purchase recommended insurance products through any licensed insurance agent or agency.

Mr. Leonard owns commercial rental properties. He spends approximately 5% of his time on this non-investment related activity.

Mr. Leonard also owns The Cain Leonard Trumpet Studio where he teaches and performs trumpet. He spends approximately 3-5% of his time to this non-investment related activity.

### Item 5 – Additional Compensation

Mr. Leonard does not receive any additional compensation or economic benefits.

### Item 6 – Supervision

Mr. Leonard is Managing Member and Chief Compliance Officer of the firm. As a result, he has no internal supervision placed over him. He is however bound by the firm's Code of Ethics and policies and procedures. Mr. Leonard can be reached at (704) 735-7427.

### Item 7 – Requirements for State-Registered Advisors

#### ARBITRATION OR CIVIL, SELF-REGULATORY ORGANIZATION OR ADMINISTRATIVE PROCEEDINGS

Mr. Leonard has not been the subject of any arbitration, civil, self-regulatory organization or administrative findings.

#### BANKRUPTCY HISTORY

Mr. Leonard has not been the subject of a bankruptcy petition.